

05.09.01

Sector 8



Attorney Docket No.: 15162/03060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of: Koujiro SEKINE, Mitsuru YOKOYAMA,  
Miyuki TERAMOTO, Kyu TAKADA, Takuji  
HATANO, Shinji MARUYAMA, and  
Tsuyoshi IWAMOTO

For: OPTICAL FUNCTIONAL DEVICE AND  
OPTICAL INTEGRATED DEVICE

U.S. Serial No.: 09/765,229

Filed: January 18, 2001

Group Art Unit: 2881

Examiner: To Be Assigned

**Box Missing Parts**

Assistant Director for Patents  
Washington, DC 20231

05/10/2001 TGEDAMU 00000027 181260 09765229  
Sale Ref: 00000006 DRH: 181260 09765229  
01 FC:105 130.00 CH  
Dear Sir:

Express Mail Mailing Label No.: EL589918740US

Date of Deposit: MAY 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the dated indicated above and is addressed to Box Missing Parts, Assistant Director for Patents, Washington, DC 20231.

**DERRICK T. GORDON**

Name of Applicant, Assignee, or Registered Representative

*Derrick T. Gordon*  
Signature

**MAY 8, 2001**

Date of Signature

**COMPLETION OF APPLICATION**

A Notice to File Missing Parts of Nonprovisional Application (copy enclosed) was mailed on May 1, 2001, indicating that the Declaration filed with the above-identified U.S. patent application was unexecuted.


Application Serial No. 09/765,229

Applicants submit herewith the Declaration executed by the Applicants on February 2, 2001, February 2, 2001, February 2, 2001, February 2, 2001, February 2, 2001, February 2, 2001, and February 1, 2001, respectively.

The executed Declaration identifies the Specification by title, filing date, inventors, and attorney docket number.

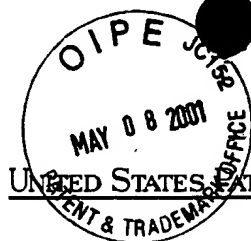
Please charge the \$130 fee to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please charge any additional fees (other than issue fee) required during the pendency of this application to Sidley Austin Brown & Wood's Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this letter is enclosed herewith.

Respectfully submitted,

  
James W. Williams  
Registration No. 20,047  
Attorney for Applicants

JWW/mhg

SIDLEY AUSTIN BROWN & WOOD  
717 North Harwood  
Suite 3400  
Dallas, Texas 75201-6507  
(214) 981-3328 (direct)  
(214) 981-3300 (main)  
May 8, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/765,229	01/18/2001	Koujirou Sekine	15162/03060

CONFIRMATION NO. 7378

24367  
SIDLEY & AUSTIN  
717 NORTH HARWOOD  
SUITE 3400  
DALLAS, TX 75201

## FORMALITIES LETTER



\*OC000000006026914\*

Date Mailed: 05/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/10/2001 TGEDAMU1 00000086 181260 09765229

01 FC:105

130.00 CH